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Endogenous Small RNAs in Grain: Semi-Quantification and Sequence Homology to Human and Animal Genes

Sergey I. Ivashuta, Jay S. Petrick, Sara E. Heisel, Yuanji Zhang, Liang Guo, Tracey L. Reynolds, James F. Rice, Edwards Allen, James K. Roberts

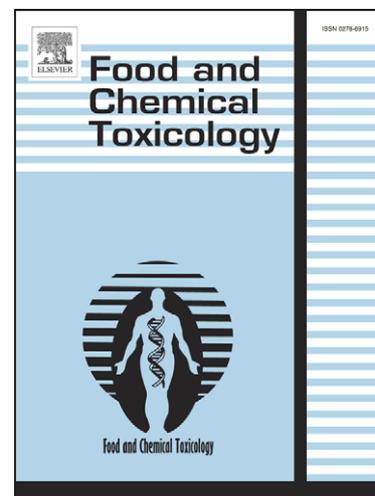
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3 Sergey I. Ivashuta, Jay S. Petrick^{*}, Sara E. Heisel, Yuanji Zhang, Liang Guo, Tracey L.

4 Reynolds, James F. Rice, Edwards Allen, James K. Roberts

5 Monsanto Company, 800 N. Lindbergh Blvd, St. Louis, MO, USA 63167.

6

7 ^{*}Corresponding Author: 800 N. Lindbergh Blvd, Mail Code O3F

8 St. Louis, MO USA 63167

9 Tel: 314-694-7292

10 Fax: 314-694-5925

11 E-mail: jspetri@monsanto.com

12

13 Running Title: Endogenous Small RNAs in Grain

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15 Key Words: RNAi, Small RNA, siRNA, miRNA, Crops, Small RNA, Rice, Soybean, Corn,
16 History of Safe Consumption

17

18 Abbreviations: dsRNA, double stranded RNA; EC, European Commission; EFSA, European
19 Food Safety Authority; FAO, Food and Agriculture Organization of the United Nations; FDA,
20 Food and Drug Administration; ILSI, International Life Sciences Institute; miRNA, Micro RNA;
21 nt, nucleotide; OECD, Organisation for Economic Cooperation and Development; RNAi, RNA
22 interference; siRNA, Small Interfering RNA; WHO, World Health Organization

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21 interference; siRNA, Small Interfering RNA; WHO, World Health Organization

22

23 Abstract:

24 Small interfering RNAs (siRNAs) and microRNAs (miRNAs) are effector molecules of RNA
25 interference (RNAi), a highly conserved RNA-based gene suppression mechanism in plants,
26 mammals and other eukaryotes. Endogenous RNAi-based gene suppression has been harnessed
27 naturally and through conventional breeding to achieve desired plant phenotypes. The present
28 study demonstrates that endogenous small RNAs, such as siRNAs and miRNAs, are abundant in
29 soybean seeds, corn kernels, and rice grain, plant tissues that are traditionally used for food and
30 feed. Numerous endogenous plant small RNAs were found to have perfect complementarity to
31 human genes as well as those of other mammals. The abundance of endogenous small RNA
32 molecules in grain from safely consumed food and feed crops such as soybean, corn, and rice

1 and the homology of a number of these dietary small RNAs to human and animal genomes and
2 transcriptomes establishes a history of safe consumption for dietary small RNAs.

3

4 Introduction:

5 RNA-mediated gene regulation (RNA interference, RNAi) is a highly conserved endogenous
6 mechanism for regulation of gene expression in eukaryotes that operates through multiple
7 pathways (Di Serio et al., 2001; Bantounas et al., 2004; Mello and Conte, 2004; Brodersen and
8 Voinnet, 2006; Mallory and Vaucheret, 2006). RNAi plays important roles in development,
9 pathogen defense and disease response in mammals, plants, and insects (Chang and Mendell,
10 2007; Pedersen et al., 2007). RNAi pathways are triggered by small RNAs that are usually 20 to
11 26 nucleotides (nt) long and are represented by diverse classes that differ from each other in their
12 biogenesis such as small interfering RNAs (siRNAs), microRNAs (miRNAs), *trans*-acting
13 siRNAs and other classes of small RNAs (Brodersen and Voinnet, 2006; Mallory and Vaucheret,
14 2006; Peters and Meister, 2007). The function of these various classes of small RNAs in animal
15 and plant RNAi pathways involves sequence-specific recruitment of the RNA silencing complex
16 to mRNA or DNA, leading to target mRNA cleavage, translational inhibition, or DNA
17 modifications (Figure 1). Small RNA regulatory networks are highly conserved in plants and
18 animals and are an essential part of endogenous gene regulation. For example, it has been
19 predicted that endogenous miRNAs likely regulate expression of at least one third of all human
20 genes (Lewis et al., 2005).

21

22 RNAi has been harnessed in the improvement of several conventional crops including soybean,
23 rice and maize. Soybean varieties that are precursors to those currently cultivated have a dark

1 pigmentation due to anthocyanin content. Breeders have selected for soybeans with a yellow
2 seed coat attributed to RNAi-mediated suppression of the chalcone synthase gene (Tuteja et al.,
3 2004). RNAi has also been attributed to a conventional low-glutelin (seed storage protein) rice
4 variety useful for those who must restrict dietary protein levels (Kusaba et al., 2003) and to the
5 green color of conventional maize stalks (Della Vedova et al., 2005). Although RNAi has been
6 harnessed by conventional breeders, crop quality and productivity can also be selectively
7 improved through RNAi by targeted suppression of a specific gene or of a desired group of target
8 genes. There are several biotechnology-derived products in development or that have already
9 been approved for commercial cultivation that utilize RNA-mediated gene suppression. Some of
10 these products, such as the FlavrSavr[™] tomato, were designed to suppress target plant genes
11 through antisense RNA, although later studies suggested RNAi as the mode of action (Sheehy et
12 al., 1988; Sanders and Hiatt, 2005; Krieger et al., 2008). Other products utilizing RNA-mediated
13 gene suppression include the papaya ringspot virus resistant papaya (Fuchs and Gonsalves,
14 2007), potatoes with increased dormancy periods of tubers (Marmioli et al., 2000), rice and
15 soybean with reduced expression of allergenic proteins (Herman et al., 2003; Tada et al., 2003)
16 and the amylopectin potato (Hofvander et al., 2004). Recent applications of RNAi in crops
17 include corn and cotton plants resistant to insect pests (Baum et al., 2007; Mao et al., 2007; Price
18 and Gatehouse, 2008) and soybeans resistant to root knot nematodes (Huang et al., 2006).

19

20 Efficient RNA-mediated gene suppression in plants can be achieved by introducing an
21 expression cassette that produces double stranded RNA (dsRNA) with sequence homology to a
22 target gene or by expression of an engineered artificial miRNA (artificial sequence based on a
23 native miRNA precursor, that is processed *in planta* to a mature artificial miRNA) (Smith et al.,

1 2000; Schwab et al., 2006; Ossowski et al., 2008). As illustrated in Figure 1, expressed dsRNAs
2 or artificial miRNA precursor transcripts are processed by DICER or DICER-LIKE RNase III
3 enzymes into multiple siRNAs or into single mature miRNAs, respectively (Carmell and
4 Hannon, 2004). These small RNAs are subsequently incorporated into RISC or RISC-like
5 complexes and mediate sequence-specific silencing of plant target genes (Rhoades et al., 2002;
6 Allen et al., 2005; Tang, 2005). Functional small RNAs in plants usually require significant
7 sequence homology to target RNAs within a ‘core’ or ‘seed’ sequence; however, mismatches
8 outside of this “seed region” may be tolerated (Rhoades et al., 2002; Allen et al., 2005).
9
10 Safety assessment of new agricultural biotechnology products is an important process for their
11 regulatory approval, registration and commercial acceptance. The existing safety assessment
12 paradigm for biotechnology-derived crops is a well-defined approach that has been
13 internationally accepted and applied successfully by regulators and regulatory scientists to over
14 50 crop products (Atherton, 2002; Cockburn, 2002; Codex, 2003a; Codex, 2003b; EC, 2003;
15 ILSI, 2004; Konig et al., 2004). This paradigm utilizes a weight of evidence approach to
16 evaluate the safety of the biotechnology-derived crop relative to its conventional counterpart
17 (Cockburn, 2002). Establishing a well-documented history of safe consumption for RNA
18 molecules including those that mediate RNAi (e.g. miRNAs and siRNAs) will be an important
19 component of this weight of evidence approach for evaluating the safety of crop products
20 developed utilizing RNAi-mediated gene suppression. As RNAi is a highly conserved
21 endogenous mechanism for regulation of gene expression in all eukaryotes, there is a long
22 history of safe consumption of foods and feeds that contain siRNAs, miRNAs and longer
23 dsRNAs in human and animal diets. Longer dsRNAs are ubiquitous in plant and animal foods in

1 the diet, in the form of transfer RNAs, double stranded regions of ribosomal RNAs and in the
2 form of endogenous RNA molecules that possess secondary structure. Furthermore, ingested
3 nucleic acids are rapidly degraded through the action of intestinal nucleases (Carver and Walker,
4 1995) and low gastric pH and are thus unlikely to undergo systemic absorption. Therefore, it is
5 not surprising that the current peer-reviewed literature lacks published studies specifically
6 assessing the safety of consuming endogenous longer dsRNAs, siRNAs or miRNAs in human
7 food or animal feed. However, with more agricultural products on the horizon that will be
8 developed through RNAi-based gene suppression, it will be important to have a well documented
9 history of safe consumption for small RNAs in order to demonstrate the safety of the RNA
10 molecules involved in this form of gene suppression in plants.

11
12 Although not thoroughly documented at present, a history of safe consumption for siRNAs,
13 miRNAs and other small endogenous RNAs in plants can be established in part, through
14 estimation of the abundance of these small RNAs and identification of these small RNAs in
15 commonly consumed food crops. Further evidence for a history of safe consumption of these
16 molecules can also be provided by identifying the homology of some of these widely consumed
17 endogenous small RNAs in grain to the genomes and transcriptomes of consuming organisms.
18 In the present study, an estimate of small RNA abundance was provided through semi-
19 quantification of endogenous small RNAs in grain from soybeans. Corn and rice grain were also
20 found to contain endogenous small RNAs at a level of similar magnitude. Many endogenous
21 siRNAs, miRNAs and other small RNAs were identified in rice, a staple food crop with a long
22 history of safe consumption and many of these small RNAs were complementary to human and
23 animal genomes and transcriptomes. The abundance of these molecules in food and feed and

1 their homology to human and animal genomes and transcriptomes provides evidence for a
2 history of safe consumption of these mediators of RNAi and supports the safety of this
3 technology for use in biotechnology-derived crops.

4

5 **Materials and Methods:**

6 *Plant test materials*

7 Total RNA was isolated from developing (R5 stage green seeds, about 9mm diameter) and
8 mature (R8 stage, dry yellow seeds) soybean seeds (*Glycine max* L.) from a conventional cultivar
9 (cv. A3525); from corn kernels obtained from a conventional inbred line (*Zea mays* L., cv.
10 LH244, 39 days after pollination); and from mature conventional rice grain from cv. Nipponbare
11 (*Oryza sativa* L.) as described below. Soy and corn plants were grown in a greenhouse at
12 Monsanto (Chesterfield, MO) under day/night conditions of 16/8 hours and 25°C /18 °C. Mature
13 soy and corn seeds were stored for approximately six months under room temperature before
14 RNA extraction. Developing soy seeds were harvested and immediately frozen in liquid
15 nitrogen and were stored at -80°C. Mature rice grain was field-grown under contract with the
16 University of Arkansas (Fayetteville, AR). Unless otherwise noted, grain samples were stored at
17 room temperature after harvest and total RNA was isolated as soon as practicable.

18 Approximately 15 soy seeds, 10 corn kernels or 35 rice grains were frozen in liquid nitrogen and
19 ground using a mortar and pestle. Aliquots of this ground material were use for RNA extraction.

20

21 *Molecular Analysis*

22 Total RNA was isolated using the TRIzol® reagent (Invitrogen, Carlsbad, CA), according to
23 manufacturer's instructions. Total RNA samples for all molecular analyses were separated on

1 TBE-urea acrylamide gels (15 or 17%) stained with SYBR® Gold (Invitrogen). Analysis of the
2 abundance of endogenous soybean small RNAs was accomplished by separating known amounts
3 of soybean total RNA alongside a dilution series of known amounts of synthetic 21 and 24 nt
4 RNA oligonucleotides (Figure 2A). Fluorescence images of gels were captured using a GelDoc
5 imaging system (BioRad, Hercules, CA) and band quantification of gel images was performed
6 using Quantity One version 4.6 software (BioRad). From fluorescence quantification of the 21
7 and 24 nucleotide oligo dilution series (log transformed), a standard curve was constructed
8 (Figure 2B). The equation for this curve was used to calculate small RNA content in multiple
9 samples of soybean total RNA based on the obtained fluorescence values (Figure 2C). This
10 method thus provides a relative quantification or “semi-quantification” of endogenous soybean
11 small RNAs in comparison to a known standard, rather than an “absolute” quantification. In this
12 analysis of soybean seed small RNA content, a single calculated value of 3.89 ng small RNA/ μ g
13 total RNA determined when 20 μ g of total RNA was loaded on a gel was found to be more than
14 6 standard errors from the mean calculated value for soybean small RNA/ μ g total RNA. When
15 the data set was analyzed using Dixon’s Q test (Barnett and Lewis, 1994), this value was
16 identified as a statistical outlier. This data point also lacked reproducibility and was therefore
17 excluded from the analysis. This experiment assessing small RNA abundance was repeated
18 several times and the mean estimated value for soybean small RNA/ μ g total RNA was
19 reproducible and was consistently of a similar magnitude between experiments (data not shown).

21 *Sequence analysis of endogenous rice small RNAs*

22 Three independent small RNA libraries were constructed from rice grain (cv. Nipponbare) as
23 described previously (Heisel et al., 2008). Small RNA library construction was performed by

1 extracting the 18-26 nt small RNA fraction from total RNA after separation on a polyacrylamide
2 gel, followed by sequential ligation of 3' and 5' cloning adaptors to these extracts (Llave et al.,
3 2002). Approximately three micrograms of cDNA reverse transcribed from each small RNA
4 library was sent to 454 Life Sciences (Branford, CT) for deep sequencing via pyrosequencing.
5 Computer algorithms written in the Perl programming language (Perl scripts) were used to
6 identify small RNA inserts within the raw sequence data through identification of the 5' and 3'
7 cloning adaptors. A total of 285,864 small RNA sequence reads were obtained from rice grain
8 small RNA libraries and were used for subsequent analysis.

9

10 *Computational analysis*

11 The megablast computer program (Zhang et al., 2000) was used to match unique 18-26 nt rice
12 small RNAs to various genome and transcriptome sequences as shown in Table 1 (megablast
13 parameters were "-F F -W 12 -f T -D 3 -X 1 -y 1"). All genome and transcriptome sequences
14 were downloaded from public databases. The versions, dates of compilation, sizes and locations
15 are listed in the data source table found in Supplementary Table 1. Only perfect matches to the
16 entire small RNA sequence were counted. For transcriptome matches, the blastall algorithm
17 (Altschul *et al.*, 1997) was used with parameters that allowed for the detection of mismatches
18 (blastall parameters were "-F F -p blastn -W 9 -m 8 -v 1000 -b 1000 -e 100"). Only those
19 sequences with a perfect match or with one mismatch along the entire small RNA length in
20 reverse complementary orientation were counted in any of the analyses conducted herein.

21

22 Results:

23 *Estimation of endogenous soybean small RNA abundance*

24

1 Total RNA was extracted from mature soybean grain. The maximal yield of total RNA per gram
2 of mature soybeans was 986.6 μg , with 407.3 μg being the average total RNA yield per gram of
3 mature soybeans seeds from seven independent RNA extractions (range 274.7-986.6 μg per
4 gram of seeds). As described in the methods section, the total RNA samples were separated
5 using a SYBR® Gold stained polyacrylamide gel to visualize small RNA bands (Figure 2A).
6 Distinct bands approximately 21 and 24 nucleotides (nt) long that correspond to the predominant
7 sizes of small RNAs were detected on the gel. Analysis of small RNA abundance was conducted
8 by evaluating intensity of small RNA bands from soybean seeds relative to a reference standard
9 dilution series of synthetic 21 and 24 nt long RNA oligonucleotides run alongside the total RNA
10 samples for visual comparison of fluorescence. The mean estimated amount of small RNA per
11 μg of soybean seed total RNA was 1.63 ± 0.31 ng (Figures 2B and 2C). Based upon the
12 maximal total RNA yield, this estimate of small RNA content in soybean seeds was used to
13 calculate that one gram of soybean seeds contains up to 1.61 μg of 21-24 nt small RNAs. Based
14 on average total RNA yield (from seven independent RNA extractions), this estimate would be
15 reduced to 0.66 μg of 21-24 nt small RNAs per one gram of soybean seeds. Similar data were
16 obtained for three independent gels representing independent RNA preparations (data not
17 shown).

18

19 Small RNAs were only slightly more abundant in developing seeds than in mature seeds (Figure
20 3). Small RNA bands of intensity similar to small RNA bands observed in soybean were also
21 detectable in RNA extracted from mature corn kernels and rice grain (Figure 4). Gel analysis
22 was repeated three times with independent RNA extractions and similar results were obtained.
23 Thus, corn and rice grain had a comparable amount of total small RNA content per gram of grain

1 to that of soybean. However, unlike soybean RNA samples, these RNA samples had a higher
2 background fluorescence that resulted in a smearing pattern, thus precluding any quantitative
3 analysis of these small RNA fractions with the procedures used in this study.

4

5 *Sequence homology of endogenous plant small RNAs to animal and human genomes and*
6 *transcriptomes*

7 Computational analysis of small RNA sequences (Margulies et al., 2005) generated as described
8 in the methods section revealed that many endogenous 18-26 mer rice small RNAs had perfect
9 sequence homology to human and mammalian genomes and transcriptomes (Table 1). A total of
10 285,864 unique 18-26 mer small RNAs were identified from sequencing of three rice grain
11 libraries. Of these unique small RNAs, 4,759 had perfect complementarity to sequences from
12 the human genome and 270 of these small RNAs had perfect complementarity to the human
13 transcriptome (Table 1). These numbers are presumed to be underestimates, as these rice small
14 RNA sequencing efforts do not represent a complete sequencing of all endogenous rice grain
15 small RNAs. Because a single unique small RNA can match multiple genes and because
16 multiple RNAs can match a single gene, these 270 small RNAs matching the human
17 transcriptome matched a total of 2,035 unique human transcripts. Small RNAs can also regulate
18 expression of transcripts that have less than perfect complementarity between the small RNA and
19 the target site (Rhoades et al., 2002). Using more relaxed analytical criteria, i.e. allowing one
20 mismatch between rice small RNA and human transcripts, the number of unique small RNA hits
21 to human transcripts rose from 270 to 2,589 and the number of unique human transcripts
22 matched was 7,075 (Supplementary Table 1). Human genes with perfect complementarity to
23 rice grain small RNAs included a diverse list of genes such as those encoding: cell cycle
24 regulators, structural proteins and adhesion molecules, developmental regulators, growth factors,

1 metabolic enzymes/proteins, receptors, signal transduction proteins, transcription
2 factors/transcriptional regulators and transporters (Table 2). A complete list of human genes
3 with perfect complementarity to rice grain small RNAs and those with 1 mismatch relative to
4 rice grain small RNAs can be found in the supplementary data (Supplementary Table 1). Many
5 endogenous rice small RNAs were also found to be complementary to mouse (*Mus musculus*),
6 pig (*Sus scrofa*), chicken (*Gallus gallus*), and cow (*Bos taurus*) genes (Table 1). Table 3 lists
7 some genes that have been previously identified as potential regulators of the human cell cycle
8 through *in vitro* RNAi knockdown experiments (Mukherji et al., 2006; Kittler et al., 2007) and
9 that have perfect complementarity (or only one mismatch) to one or more small RNAs found in
10 rice grain.

11
12 Discussion:
13 RNAi-based gene suppression in plants is rapidly emerging as a valuable technique for
14 improvement of crop quality via agricultural biotechnology. As with other aspects of
15 agricultural biotechnology, potential issues for RNAi-based gene suppression should be assessed,
16 including those pertaining to food and feed safety. The present studies provide evidence that
17 soybean seeds, rice grain, and maize kernels contain numerous endogenous small RNAs that are
18 detectable through direct staining of separated RNA. Small RNA sequencing and bioinformatics
19 analysis revealed that many endogenous small RNAs in rice grain have perfect or near perfect
20 complementarity to human and animal genes. Based on the ubiquitous nature of the RNAi
21 process, it is presumed that grain from soybean, corn and other widely consumed crops also
22 contains small RNAs with complementarity to human and animal genes. These data can be used
23 to illustrate a history of safe consumption for dietary small RNAs.

24

1 Currently, data providing even a very rough estimate on quantity of small RNAs present in foods
2 are not available in the scientific literature. This lack of data is probably due in part to technical
3 challenges associated with quantitative analysis of a heterogeneous population of small RNAs
4 within a total RNA preparation. The approach used in the present study allows for the detection
5 and estimation of endogenous grain small RNA abundance using direct visualization on a gel.
6 Whereas we are aware that estimates of small RNA quantity obtained in this study represent a
7 rough estimate of the total small RNA population and that the results may vary depending on
8 plant tissue type, method of RNA extraction, type and sensitivity of stain used and other factors,
9 we believe that these data provide a useful guidance regarding the approximate amount of small
10 RNAs in grain. Based upon an experimentally determined estimate of approximately 1.63 ng of
11 small RNAs per μg of soybean total RNA, it was estimated that up to 1.61 μg of 21-24 nt small
12 RNAs were obtained per gram of soybeans, whereas the average amount of endogenous small
13 RNAs in soybeans was estimated to be 0.66 μg per gram. These numbers indicate that up to 0.1-
14 0.2 % of the total RNA population may be composed of small RNAs. With plant mRNA usually
15 representing approximately 0.5-1.5 % of total RNA and the average molecular mass of a small
16 RNA being at least 80 times less than the average molecular mass of plant mRNA, the small
17 RNA population is approximately an order of magnitude less abundant than that of mRNA.
18 Furthermore, data from the present studies revealed that small RNAs are only slightly more
19 abundant in developing seeds relative to mature seeds, suggesting that small RNAs either
20 continue to be produced during seed maturation or that they are relatively stable in mature seeds
21 following several months of room temperature storage.

22

1 Sequencing of endogenous small RNAs in rice grain and subsequent computational analysis
2 revealed a number of endogenous small RNAs in rice grain with perfect complementarity to
3 human and animal genomes and transcriptomes. Among the human genes identified as having
4 high homology to small RNA molecules present in rice, several have been previously shown to
5 have an associated phenotype such as cell cycle arrest when suppressed *in vitro* using synthetic
6 siRNAs in cultured human cells (Mukherji et al., 2006; Kittler et al., 2007). In addition, rice
7 small RNAs had perfect complementarity to human genes encoding cell cycle regulators,
8 structural proteins and adhesion molecules, developmental regulators, growth factors, metabolic
9 enzymes/proteins, receptors, signal transduction proteins, transcription factors/transcriptional
10 regulators and transporters. The long history of consumption of rice as human food and the
11 results presented in the present manuscript together illustrate that small RNAs with perfect
12 complementarity to human genes are present in grain from a safely consumed staple crop,
13 therefore implying that dietary small RNAs do not exhibit oral activity to an extent that would
14 have a meaningful impact on human health.

15
16 Cooking and processing of foods may destroy endogenous RNA and DNA molecules, although
17 any remaining nucleic acids are likely to be digested after consumption due to low gastric pH
18 and due to pancreatic nucleases secreted into the intestine and those present in the saliva and
19 blood (Carver and Walker, 1995; Park et al., 2006). Less than 5% of nucleic acid catabolites are
20 used for *de novo* synthesis of nucleic acids and the remaining catabolites are utilized in other
21 biochemical reactions or undergo excretion in the urine and feces (Carver and Walker, 1995). In
22 a study conducted in neonatal pigs, no intestinal absorption of RNA was observed (Baintner and
23 Toth, 1986). Furthermore, there does not appear to be any evidence in the scientific literature

1 suggesting that intact RNA is absorbed following ingestion. Digestion of ingested nucleic acids
2 including RNA has been well established and this presumably applies to all dietary RNAs,
3 including siRNAs, miRNAs and other endogenous RNA molecules that contain double stranded
4 regions (e.g., ribosomal RNA, transfer RNA, and double stranded small RNA precursors). The
5 weight of evidence supporting this presumption includes the above references and as described
6 below, also includes the lack of oral bioavailability for nucleic acid therapeutics and the history
7 of safe consumption of dietary RNAs including siRNAs and miRNAs.

8
9 There is evidence that horizontal transfer of a specifically engineered gene suppression signal
10 can occur between plants and target pests such as root-knot nematode (Huang et al., 2006;
11 Gheysen and Vanholme, 2007), corn rootworm (Baum et al., 2007), and cotton bollworm (Mao
12 et al., 2007). To work efficiently in target pests, the plant-produced gene suppression trigger
13 must have a high degree of complementarity to the pest target gene(s) and must have the ability
14 to reach the target tissue (Gordon and Waterhouse, 2007). Oral administration of chemically
15 stabilized antisense oligonucleotides to rats, however, resulted in rapid degradation and excretion
16 of the test material (Agrawal et al., 1995). In mice, chemically stabilized siRNAs were digested
17 and/or rapidly eliminated as evidenced by the limited biodistribution and rapid degradation of
18 these siRNAs following intravenous injection (Braasch *et al.*, 2004). Direct intravenous
19 injection of unmodified small RNAs into experimental animals generally requires extremely high
20 doses, specialized delivery routes, or lipid delivery agents to foster small RNA uptake
21 (Soutschek et al., 2004; Behlke, 2006). Based on the general chemical properties of siRNAs and
22 oligonucleotides and the evidence regarding their instability, limited bioavailability, and limited
23 biodistribution in mammalian systems (Agrawal et al., 1995; Zhang et al., 1995; Braasch et al.,

1 2004), systemic absorption of intact siRNAs, miRNAs following dietary exposure to these
2 molecules would be highly improbable.

3
4 The lack of systemic absorption of dietary small RNAs is further evidenced by the history of safe
5 consumption of dietary siRNAs and miRNAs that match human and animal genes as outlined in
6 the present study and in a recently published study by Heisel and colleagues (Heisel et al., 2008).
7 The abundance of endogenous small RNAs in staple food crops and presumably in livestock
8 (such as cow, chicken, and pig) and the homology of these small RNAs to the human genome
9 and transcriptome illustrate the history of safe consumption of these molecules. Small RNAs
10 from foods (and therefore, their dsRNA precursors) are consumed safely without evidence of
11 adverse effects, most likely because oral activity of these dietary RNAs would require significant
12 absorption, distribution, and uptake of these molecules. As discussed above, this is an unlikely
13 scenario for dietary RNAs. Therefore, based on the history of safe consumption of dietary small
14 RNAs in staple grains and the homology of some of these endogenous small RNAs to the
15 genomes and transcriptomes of consuming organisms documented in the present manuscript we
16 conclude that RNAi-mediated gene suppression represents a highly specific, safe and effective
17 means to improve crops through agricultural biotechnology. Based on this evidence it can be
18 concluded that RNAi-mediated regulation of gene expression in biotechnology-derived crops is
19 as safe for food and feed use as conventional crops that harness RNAi-based gene regulation as
20 one of several ways to achieve new plant traits. The safety of future crops generated through
21 applications of RNAi should thus be evaluated for safety by the existing comparative safety
22 assessment paradigm, which has been developed for biotechnology-derived crops (FAO/WHO,
23 1996; FAO/WHO, 2000; EC, 2003; OECD, 2003; OECD, 2005).

1 Figure Legends

2

3 Figure 1. Multiple pathways for RNA interference-mediated gene suppression. A simplified
4 diagram shows major pathways that are conserved in plants and animals. siRNA or miRNA
5 precursors are processed by multiple Dicer RNase III enzymes (Dicer-like enzymes in plants,
6 DCL) into small RNAs (mainly 21-24 nucleotide long) in the cytoplasmic or nuclear
7 compartments. After processing, siRNAs or miRNAs are loaded into an RNA Induced Silencing
8 Complex (RISC) to drive sequence specific (antisense-sense sequence interaction with target
9 mRNA) gene suppression by mRNA cleavage or inhibition of protein translation. siRNAs can
10 also been loaded into an RNA-induced transcriptional silencing (RITS) complex in the nucleus
11 that mediates chromatin modification/DNA methylation (Me) processes that may affect
12 transcriptional activity (Jones-Rhoades et al., 2006).

13

14 Figure 2. Endogenous small RNA semi-quantification in conventional soybean seeds. (A) Total
15 RNA from mature soybean seeds was separated on a 15% polyacrylamide-urea gel and
16 visualized using SYBR® Gold stain. A dilution series of synthetic RNA oligonucleotides (21
17 and 24 nt) were used as a reference standard to compare with the relative intensity of
18 fluorescence (given in AU, Arbitrary Units of fluorescence) in the test samples. The inverse
19 image is shown. (B) Standard curve of fluorescence intensity (in Arbitrary Units, AU) from
20 quantification of the dilution series of synthetic oligonucleotides graphed against the log
21 transformed amount of oligonucleotides loaded on the gel. (C). Soybean small RNA semi-
22 quantification in total RNA samples. The equation for the standard curve was used to calculate
23 small RNA content in samples of soybean total RNA based on the obtained fluorescence values.

1 Figure 3. Endogenous small RNAs accumulate in similar quantities in developing and mature
2 soybean seeds. Total RNA from developing and mature soybean seeds was separated on 15%
3 polyacrylamide-urea gel and visualized using SYBR® Gold stain.

4

5 Figure 4. Visualization of small RNAs in soybean, corn and rice. Total RNA from mature dry
6 soybean seeds, mature dry corn kernels, and rice grain. Total RNA was separated on a 17%
7 polyacrylamide-urea gel and visualized using SYBR® Gold stain. Numbers represent the
8 number of μg of total RNA loaded on the gel. The inverse gel image is shown to increase
9 contrast.

10

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ACCEPTED MANUSCRIPT

1 Table 1. Number of endogenous rice small RNA with matches to publicly available genomes and
 2 transcriptomes (perfect match)

Total unique small RNAs	Rice Grain	
	285,864	
Species (genome/transcriptome size in MB)	Genome	Transcriptome
Human, <i>Homo sapiens</i> (2881/104)	4,759	270
Mouse, <i>Mus musculus</i> (2567/116)	5,361	1,313
Pig, <i>Sus scrofa</i> (626/34)	1,520	297
Cow, <i>Bos taurus</i> (2732/52)	4,706	1285
Chicken, <i>Gallus gallus</i> (1100/39)	4,185	164
Soybean, <i>Glycine max</i> (925/117)	21,152	10,675
Corn, <i>Zea mays</i> (1592/91)	27,156	16,112
Rice, <i>Oryza sativa</i> (373/113)	242,459	38,782

3

Table 2. List of selected human genes with 100% complementarity to endogenous small RNAs in rice grain.

Cell Cycle Regulators

gi 16950654	Cyclin D1
gi 47132608	Cyclin-dependent kinase inhibitor 2B
gi 33946323	Guanine nucleotide binding protein (G protein), alpha inhibiting activity polypeptide 1
gi 39812377	RAN binding protein 9
gi 32307123	Nuclear receptor co-activator 3, src-3

Cellular Structure and Adhesion Molecules

gi 18201922	Collagen, type XII, alpha 1
gi 31317225	Ephrin-B1
gi 52485852	Integrin, alpha 11
gi 14589888	N-cadherin, neuronal

Developmental

gi 31317225	Ephrin-B1
gi 4503706	Fibroblast growth factor 9
gi 4503694	Fibroblast growth factor 18
gi 23308573	Sprouty 4

Growth Factors

gi 4503706	Fibroblast growth factor 9
gi 4503694	Fibroblast growth factor 18
gi 19923111	Insulin-like growth factor 1

Metabolic Enzymes/Proteins

gi 65301138	ATPase, Class II, type 9A
gi 37577154	ATPase, vacuolar, H ⁺ transporting, lysosomal accessory protein 1, ATP6AP1
gi 34335257	ATPase, vacuolar, H ⁺ transporting, lysosomal 38kDa, V0 subunit d isoform 1 (ATP6V0D1)
gi 51599150	Calpain, small subunit 1
gi 61743919	Cytochrome P450 4F11
gi 30061499	Gamma-glutamyltransferase-like 3
gi 4505610	PARN, Poly(A)-specific ribonuclease (deadenylation nuclease)
gi 38505195	Prostaglandin E synthase
gi 38788121	Serine protease 23
gi 13375784	Steroid 5 alpha-reductase 2-like (SRD5A2L)
gi 32967281	Ubiquitin-conjugating enzyme E2B
gi 58530887	Ubiquitin-conjugating enzyme E2R2

Receptors

gi 4557266	Adrenergic receptor, beta-3
gi 51988913	Fibroblast growth factor receptor-like 1
gi 61744470	LDL receptor-related protein 8, apolipoprotein e receptor (LRP8, APOE R2)
gi 54792106	Muscarinic cholinergic receptor 2
gi 32307151	Oxytocin receptor
gi 8922178	Serine/threonine/tyrosine kinase 1
gi 65301166	Very low density lipoprotein receptor

Signal Transduction

gj|6138971 Beta adrenergic receptor kinase 1
 gj|27477118 Calcium/calmodulin-dependent protein kinase IV
 gj|51599150 Calpain, small subunit 1
 gj|31317225 Ephrin-B1
 gj|4557328 Fas ligand
 gj|23065570 GTP binding protein 1
 gj|33946323 Guanine nucleotide binding protein (G protein), alpha inhibiting activity polypeptide 1
 gj|52485852 Integrin, alpha 11
 gj|10938013 Jun D proto-oncogene
 gj|32481207 Mitogen-activated protein kinase-activated protein kinase 2 (MAPKAPK2)
 gj|21735555 Mitogen-activated protein kinase kinase 2 (MAP3K2)
 gj|10835172 Nitric oxide synthase 1, neuronal
 gj|32307151 Oxytocin receptor
 gj|32455247 Phosphoinositide-3-kinase, regulatory subunit 1, p85 alpha
 gj|18860871 Protein tyrosine phosphatase receptor type F
 gj|88947650 Protein tyrosine phosphatase type IVA, member 2
 gj|12232372 RAB GTPase activating protein 1
 gj|39812377 RAN binding protein 9
 gj|8922178 Serine/threonine/tyrosine kinase 1, STK1
 gj|31543197 Serine/threonine kinase 40, STK 40
 gj|23308573 Sprouty 4

Transcription Factors and Transcriptional /Regulators

gj|30795241 Aryl hydrocarbon receptor nuclear translocator
 gj|19923286 AT-binding transcription factor 1
 gj|53749664 COUP-TF1, NR2F1 transcription factor
 gj|59938775 cAMP responsive element binding protein 5
 gj|10938013 Jun D proto-oncogene
 gj|32307123 Nuclear receptor co-activator 3, src-3
 gj|32307127 Nuclear receptor co-activator 6
 gj|56699487 Nuclear receptor co-repressor 2
 gj|61744437 Peroxisome proliferator activated receptor, alpha
 gj|58331205 Retinoid X receptor, gamma

Transporters

gj|46592914 ABCG1 transporter, cholesterol/phospholipid transport
 gj|44680146 Ascorbate/Nucleobase Transporter SVCT2
 gj|34335257 ATPase, vacuolar, H+ transporting, lysosomal 38kDa, V0 subunit d isoform 1 (ATP6V0D1)
 gj|13386497 Calcium channel, voltage-dependent, P/Q type, alpha 1A subunit
 gj|54112391 Calcium channel, voltage-dependent, alpha 2/delta subunit 2
 gj|27894377 Chloride intracellular channel 6
 gj|40254457 Copper transporter CTR1
 gj|9955961 Multidrug Resistance-associated Protein 1, MRP1
 gj|7706713 Organic anion transporter 3A1
 gj|38679889 Organic anion transporter 4C1
 gj|13569931 Organic anion transporter 5A1
 gj|20143943 Potassium channel KCNK10
 gj|24797140 Potassium inwardly-rectifying channel, subfamily J, member 5
 gj|5032092 Solute carrier family 1, member 5, neutral amino acid transporter
 gj|5032096 Solute carrier family 6, member 8, creatine transporter
 gj|38569461 Solute carrier family 12, member 2, sodium/potassium/chloride transporter
 gj|31563525 Solute carrier family 24, member 3, sodium/potassium/calcium exchanger
 gj|52630414 Solute carrier family 30, member 3, zinc transporter

Table 3. List of selected human genes that have been identified through RNAi as potential regulators of the human cell cycle (Mukherji et al., 2006; Kittler et al., 2007) and that have complementary matches to endogenous rice small RNAs.

Gene ID	Annotation/Function	Plant small RNA ID*
gil33946323	guanine nucleotide binding protein	399664
gil39812377	RAN binding protein 9	1066113
gil32307123	nuclear receptor coactivator 3	146628
gil6912283	carbonic anhydrase XIV	1356926
gil13386499	calcium channel, alpha 1A subunit	146628
gil8923822	potassium inwardly-rectifying channel	462873
gil4505664	phosphodiesterase 4C	1083748
gil17999536	PRP8 pre-mRNA processing factor	457312
gil20336472	B-cell CLL/lymphoma 7B	334341, 975870
gil4758077	c-src tyrosine kinase	500660
gil68800039	small nuclear RNA auxiliary factor 1	397897, 1366463
gil38327563	serine/threonine kinase 6	565921

* See supplementary Table 1 for sequence of small RNAs

Note: Bioinformatics analysis allowed for 1 mismatch between the endogenous rice grain small RNAs and the genes identified as potential cell cycle regulators.

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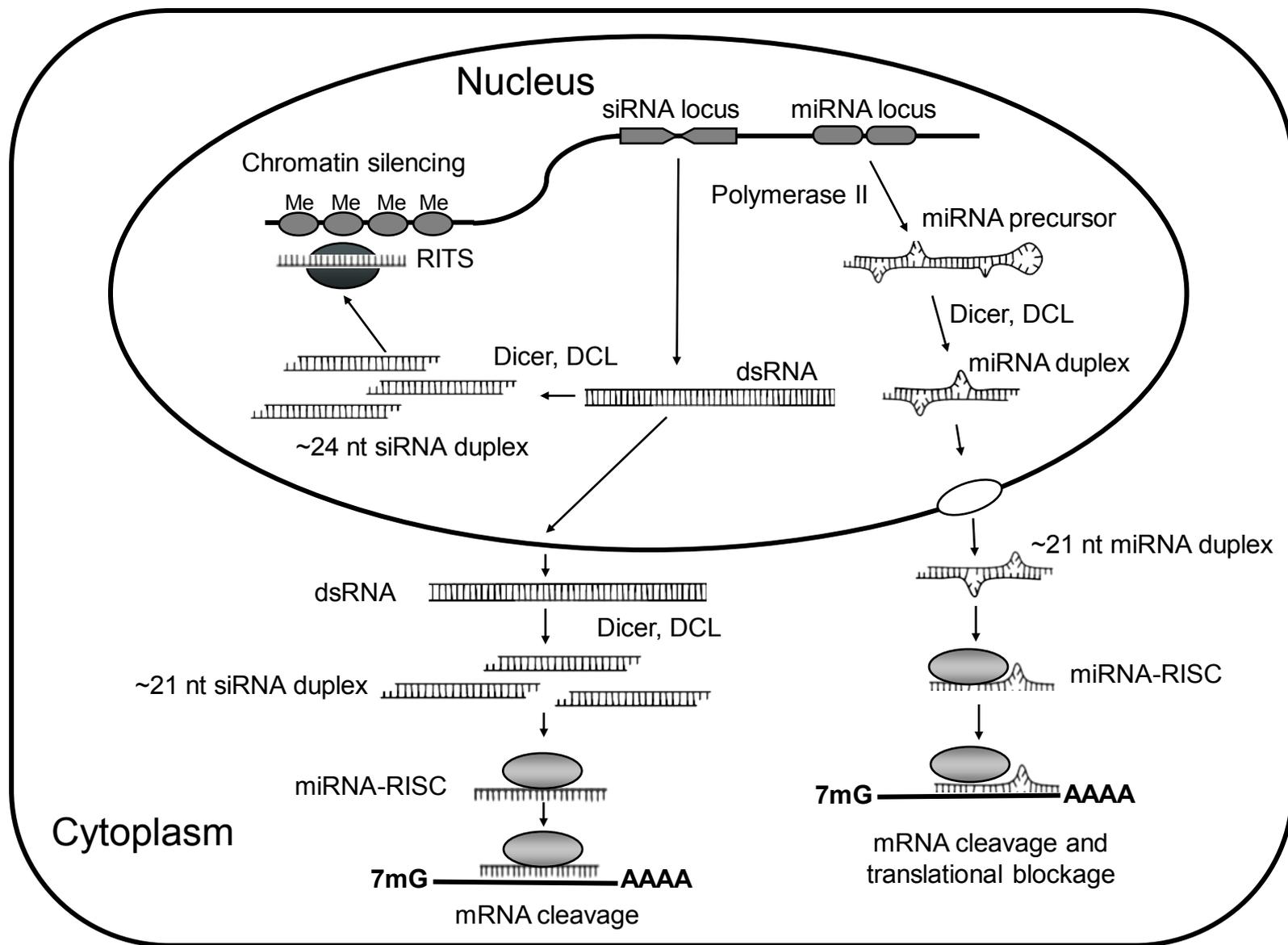
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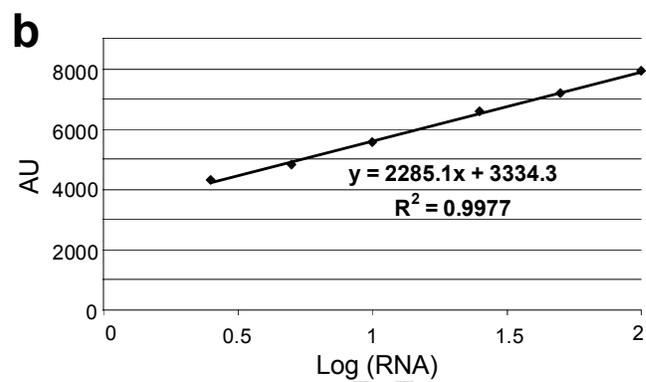
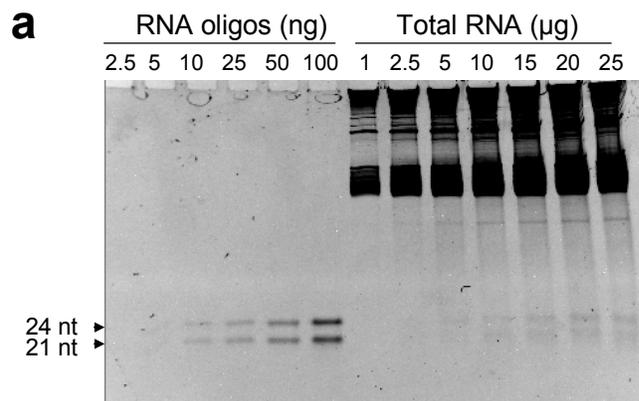
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Figure 1





c

Total RNA Loaded per Well (μ g)	Small RNA Detected per Well (ng)	Small RNA ng per μ g of Total RNA
2.5	3.64	1.46
5	7.90	1.57
10	16.56	1.66
15	19.80	1.32
25	53.30	2.13
	Mean	1.63
	STD	0.31

